

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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<b>Applicant(s):</b> Millette et al.	<b>: Group Art Unit:</b> 3692
<b>Serial No.:</b> 09/900,554	<b>: Examiner:</b> Nga B. Nguyen
<b>Filed:</b> July 6, 2001	<b>: Attorney Docket No.:</b> 006878.112500
<b>For:</b> METHOD FOR STRUCTURING AN EQUITY ISSUE UTILIZING A CLOSED BLOCK BUSINESS ENTITY	<b>:</b>

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
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Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(c)(2). In this regard, it is noted that there has not yet been a mailing of a final action, a notice of allowance or an action that otherwise closes prosecution.

Of further note, no representation is intended that a complete search has been made by the applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,  
GREENBERG TRAURIG, LLP

Dated: March 29, 2007

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Complete if Known**

Application Number	09/900,554
Filing Date	7/6/01
First Named Inventor	Millette
Art Unit	3692
Examiner Name	Nguyen
Attorney Docket Number	09/978.1/2500

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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